

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(MBHB Case No. 00-432-A)

RECEIVED

SEP 05 2003

TECH CENTER 1600/2900

In re Application of:

Guolin Cai

Serial No.: 09/892,024

Filed: June 26, 2001

For: Aryl Fused Substituted  
4-oxy-pyridines

Group Art Unit: 1625

Examiner: B. M. Robinson

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed Supplemental PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Foreign Documents

1. European Patent Publication No. EP 205375, published December 17, 1986.
2. European Patent Publication No. EP 362006, published April 4, 1990.
3. PCT Patent Publication No. WO 92/06094, published April 16, 1990.

09/05/2003 EAREGAY1 00000134 09892024

01 FC:1806

180.00 0P

4. PCT Patent Publication No. WO 94/02145, published February 3, 1994.
5. PCT Patent Publication No. WO 99/29319, published June 17, 1999.
6. PCT Patent Publication No. WO 99/37303, published July 29, 2003.
7. PCT Patent Publication No. WO 99/43681, published September 2, 1999.
8. PCT Patent Publication No. WO 99/43682, published September 2, 1999.
9. PCT Patent Publication No. WO 99/47131, published September 23, 1999.
10. PCT Patent Publication No. WO 99/47142, published September 23, 1999.
11. PCT Patent Publication No. WO 99/47171, published September 23, 1999.
12. PCT Patent Publication No. WO 99/65904, published December 23, 1999.

**Other Documents**

13. Da-Rocha et al., (1997) *Journal of Psychopharmacology* 11(3):211-218.
14. Le AD, et al., (1996) *Alcohol and Alcohol* 31(Suppl 1):27-32.
15. Smith et al., (1998) *American Journal of Psychiatry* 155(10):1339-1324.
16. White G. et al., (1995) *Neuroreport* 6(9):1313-1316.
17. International Search Report for International Application PCT/US 01/41146.

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Respectfully submitted,

Date: September 2, 2003

By:



Bradley W. Crawford

Reg. No. 50,494

**McDonnell, Boehnen Hulbert & Berghoff**

300 South Wacker Drive

Chicago, IL 60606

312 913-2114

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 00-432-A	Serial No. 09/892,024
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant:	
		Guolin Cai, et al.	
		Filing Date:	Group:
		June 26, 2001	1625




## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
BML	1.	EP 205375	Dec 17, 1986	EP				
	2.	EP 362006	April 4, 1990	EP				
	3.	WO 92/06094	April 16, 1990	WO				
	4.	WO 94/02145	Feb 3, 1994	WO				
	5.	WO 99/29319	June 17, 1999	WO				
BML	6.	WO 99/37303	July 29, 2003	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

BML	13.	Da-Rocha et al., (1997) <i>Journal of Psychopharmacology</i> 11(3):211-218.
	14.	Le AD, et al., (1996) <i>Alcohol and Alcohol</i> 31(Suppl 1):27-32.
	15.	Smith et al., (1998) <i>American Journal of Psychiatry</i> 155(10):1339-1324.
	16.	White G. et al., (1995) <i>Neuroreport</i> 6(9):1313-1316.
	17.	International Search Report for International Application PCT/US 01/41146
EXAMINER 		DATE CONSIDERED 7/7/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket N 00-432-A	Serial No. 09/892,024
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant:	
		Guolin Cai, et al.	
		Filing Date:	Group:
		June 26, 2001	1625



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
BMR	7.	WO 99/43681	Sept 2, 1999	WO				
	8.	WO 99/43682	Sept 2, 1999	WO				
	9.	WO 99/47131	Sept 23, 1999	WO				
	10.	WO 99/47142	Sept 23, 1999	WO				
	11.	WO 99/47171	Sept 23, 1999	WO				
BMR	12.	WO 99/65904	Dec 23, 1999	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

EXAMINER		DATE CONSIDERED	7/7/04
----------	--	-----------------	--------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.